# Higher-Level Features in Speaker Recognition

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#### Overview

- Motivation
- What are higher-level features?
- History
- Taxonomy of higher-level features
- Examples
  - Word N-gram modeling
  - State/phone/word duration modeling
  - Prosodic modeling
- Tools
  - Automatic speech recognition
  - SVM modeling
  - System combination
- Performance comparison

#### **Motivation**

- Most applied speaker recognition is based on short-term cepstral features
  - Cepstral features are primarily a function of speakers vocal tract shape
  - Cepstral features are affected by extraneous variables, like channel and acoustic environment
- Higher-level features aim for
  - More detail in cepstral modeling, by conditioning on additional information
  - Capturing of speaker-specific linguistic and behavioral aspects not reflected at the cepstral level

## Higher-Level Features in Speaker Recognition

Terminology is imprecise, but has traditionally meant several things in the speaker recognition community:

- 1. Features that go beyond spectral/cepstral
- Features that span temporal regions longer than a typical frame (10-25ms) used in cepstral analysis, often using regions of variable length
- 3. Features based on linguistic units, such as phones, syllables, words, or prosodic phrases.
- 4. Features based on automatic speech recognition (phone or word level)

#### History

- Early examples:
  - Pitch distribution modeling (Sonmez et al. '98)
  - Phone-based speaker modeling (Andrews et al. '01)
- "SuperSID" workshop at Johns Hopkins University, 2002
  - Explored a range of features
  - Much improvement over cepstral baseline by combining lots of systems
  - Led by MIT-LL; prosodic features and ASR provided by SRI
- Much use of high-level featured in NIST speaker recognition evaluations (SRE) in following years
  - Primary evaluation condition now used 2.5 min. of speech in train & test
  - Optional "extended data" condition with 8 x 2.5 mins of training data
  - MIT & SRI each usually had 6 or more systems in combination

## History (continued)

- Recent trend has been to reduce number of high-level systems
  - To reduce computational overhead
  - Because epstral systems have gotten much better, gains from high-level features are smaller
- SRI continues to explore high-level modeling
  - Combine advances in cepstral modeling with HL features
  - Next challenge: language independent approaches

#### A Classification of Higher-Level Features

- We like to categorize features along following dimensions:
  - Feature type: what are the observations being modeled?
  - Time span: short (frame) versus long (or variable)
  - ASR use in defining observation unit: phone, syllable, word, phrase
  - ASR use in conditioning observation: phone, syllable, word, etc.
- Here: focus on a few feature types covering a range of levels and approaches
  - Two important additional approaches will be covered in separate lectures
- See book chapter for more complete table and references
  - E. E. Shriberg (2007), <u>Higher Level Features in Speaker Recognition</u>. In C. Müller (Ed.) *Speaker Classification I.* Volume 4343 of Lecture Notes in Computer Science / Artificial Intelligence. Springer: Heidelberg / Berlin / New York, pp. 241-259

## Higher-Level Features: An overview

Feature Type	Feature Description	Time Span	ASR to Find Unit	ASR to Condition
Cepstral	phone-conditioned text-conditioned GMMs phone HMMs whole word	-	Ø Ø phone, word Ø	phone word, syll. phone N-gram
Cepstral- Derived	MLLR adapt. transforms	-	word, unc. phone	phone
Acoustic Tokenization	phone N-gram freq. conditioned pron. model	_	unc. phone unc. phone	Ø phones
Prosodic	dynamics duration syllable-pros. sequences	_	Ø state, phone, syllable	Ø phone, word word
Lexical	word N-grams	_	word	Ø

# Example Features and Models

#### Disclaimer on Results

- Many of the results presented are historical
- Results obtained on different training/test sets
- Baselines vary and get better the more recent the results
- Gains over baseline may also vary
  - The better the baseline, the less typically the gain
- Your mileage may vary !

# Word N-gram Modeling

#### Word N-gram Features

- Idea (Doddington 2001):
  - Word usage can be idiosyncratic to a speaker
  - Model speakers by relative frequencies of word N-grams
  - Reflects vocabulary AND grammar
  - Cf. similar approaches for authorship and plagiarism detection on text documents.
  - First (unpublished) use in speaker recognition: Heck et al. (1998)
- Implementation:
  - Get 1-best word recognition output
  - Extract N-gram frequencies
  - Model likelihood ratio OR
  - Model frequency vectors by SVM

I_shall	0.002
I_think	0.025
I_would	0.012
•••	

## Word N-gram Modeling: Likelihood ratios

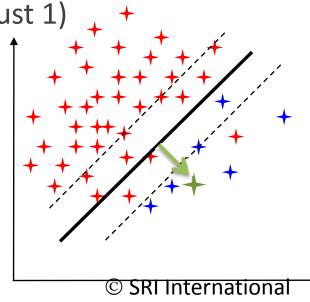
- Model N-gram token log likelihood ratio
- Numerator: speaker language model estimated from enrollment data
- Denominator: background language model estimated from large speaker population
- Normalize by token count

$$Score = \frac{\sum_{j} log \frac{\Lambda_{Speaker}(j)}{\Lambda_{Background}(j)}}{\sum_{j} 1}$$

 Choose all reasonably frequent bigrams or trigrams, or a weighted combination of both

## Speaker Recognition with SVMs

- Each speech sample (training or test) generates a point in a derived feature space
- The SVM is trained to separate the target sample from the impostor (= UBM) samples
- Scores are computed as the Euclidean distance from the decision hyperplane to the test sample point
- SVMs training is biased against misclassifying positive examples (typically very few, often just 1)
  - † Background sample
  - Target sample
  - ⋆ Test sample



#### Feature Transforms for SVMs

- SVMs have been a boon for higher-level (as well as cepstral speaker recognition) research – they allow great flexibility in the choice of features
- However, we need a "sequence kernel"
- Dominant approach: transform variable-length feature stream into fixed, finite-dimensional feature space
- Then use linear kernel
- All the action is in the feature transform!
- We will discuss more interesting feature transforms in the 2<sup>nd</sup> and 3<sup>rd</sup> lectures!

## Word N-gram Modeling with SVMs

Features: relative word N-gram frequencies of unigrams,

bigrams, and trigrams

I_shall	0.002
I_think	0.025
I_would	0.012

- Note: features subject to ASR error
- Feature selection: by frequency on background training data (about top 100k most frequent N-grams)
- Since enrollment and test data is short (compared to background data), most feature values are zero
  - SVM software should be optimized for sparse feature vectors!
- Feature scaling and normalization: see tomorrow's lecture

#### Word N-gram Modeling: Results

- Results obtained on SRE'04 test data (EER)
- Baseline system: cepstral GMM
- Score-level combiner: neural network

	1-side training	8-side training
Ceptral system	11.27	6.54
Word ngrams (LLR)	27.81	16.36
Word ngrams (SVM)	23.06	12.36
Cepstral + Word ngrams (SVM)	10.03	3.27
Relative improvement	11%	50%

#### Conclusions:

- SVM modeling substantially better than LLR
- Word N-grams by themselves are not competitive with baseline, but
- Combination with cepstral baseline yields significant gains

#### **Duration-conditioned Word N-grams**

- Most frequent 5000 words are binned into two categories, "slow" and "fast", with respect to their duration.
- Then, each of word w is labeled as either  $w_{slow}$  or  $w_{fast}$  while computing the N-gram frequencies.
- Less frequent words are treated as before (durationindependently)
- The background set comprised 1971 conversation sides from the Fisher corpus, Switchboard-2 NIST SRE 2003 data, Switchboard-2 Phase 5 data.
- The values are then rank-normalized to the range [0;1], using the background data as the reference distribution.
- Details see Tur et al. (2007)

#### Duration-conditioned Word N-grams: Results

Results on SRE'06 test data (EER)

	1-side training	8-side training
Standard Word N-grams	26.53	11.14
Duration-conditioned	23.46	9.95
Relative improvement	8.5%	10.7%

• Note: similar approach based on *pronunciation-specific* word labels was not as successful.

# **Duration Modeling**

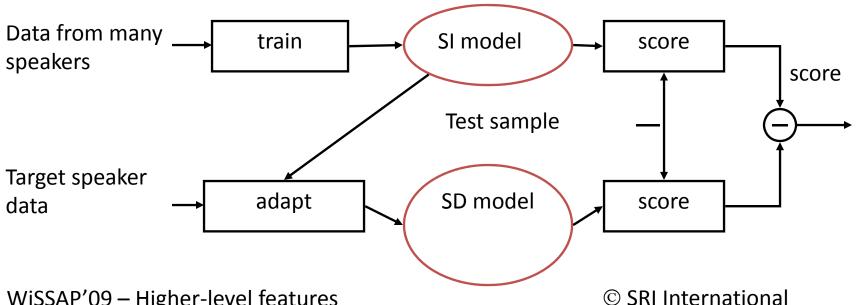
## **Duration Modeling**

- Goal: capture speaker-specific duration patterns for particular words or phones
- Each word (or phone) is represented by a vector comprised of the durations of the individual phones (or states) it contains. Example:

- Gadde (2000) successfully used duration features for speech recognition
- Here, we investigate and extend duration features for the task of speaker recognition

## Duration Modeling (cont.)

- Vectors modeled by Gaussian Mixture Models.
- Speaker-dep. models obtained through adaptation of a SI model trained on data from many speakers.
- SD models then used to score test samples. Score normalized by score obtained using SI model.



#### **Duration Features**

- 3 types of features:
  - Phone-in-word features: Sequence of phone durations in word. Number of components depends on pronunciation.

E.g., w:that dh+ax+t 
$$\rightarrow$$
 (4 8 6)

Phone features: Duration of phone. Single-component vectors.

E.g., p:dh 
$$\rightarrow$$
 (4) p:ax  $\rightarrow$  (8) p:t  $\rightarrow$  (6)

State-in-phone: Sequence of state durations in phone.
 Three-component vectors.

```
E.g., s:dh \rightarrow (2 1 1) s:ax \rightarrow (3 2 3) s:t \rightarrow (1 1 4)
```

• Obtain features from either forced alignments to true words, or to recognized words.

## **Duration Model Training and Adaptation**

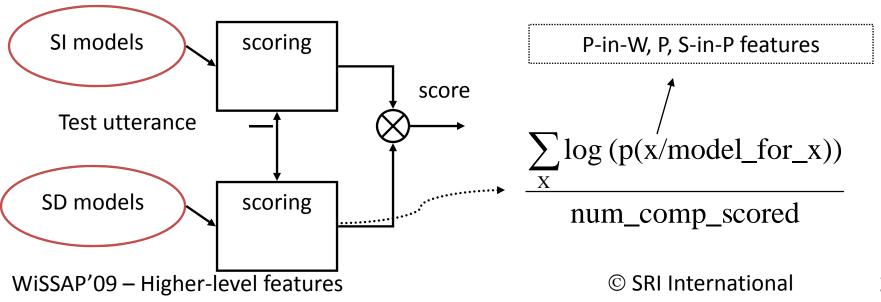
- Train speaker independent GMMs for each word and each phone (one component and three component models)
- Then obtain the SD models through MAP adaptation of the SI model
- Adapt means and weights. Weight is based on number of speaker dependent samples available
- The SI model size has to be such that during adaptation, most of the Gaussians have some number of speaker dependent samples to be adapted to

## Duration Model Training and Adaptation (cont.)

- Duration patterns often change when the speaker is about to make a pause
- We therefore condition models on the context:
  - 1. Pause context models are trained using the samples that are found before a pause longer than 200msec.
  - 2. Word context models are trained using all other samples (no following pause).

## **Duration Scoring Procedure**

- Three separate scores are obtained, one for each set of models: phone-in-word, phones, state-in-phone.
- Compute each score as the sum of the log-likelihoods of the feature vectors in the test utterance given their SD models, divided by the number of components scored and normalized by the score obtained using the SI model.



## Duration Scoring Procedure (cont.)

- Back-off strategy: when context dependent model not adapted to speaker with more than a certain number of samples, use context independent model to score instead.
- Avoiding non-robustly adapted models: Score only those models that were adapted to the speaker with more than 5 samples, to avoid non-robust models.

## System Combination

- Duration systems were combined with a GMM standard system (from 2003) that uses Mel-frequency cepstral coefficients as features
- To assess whether duration features complement lexical information, also combined with word bigram feature system (Doddington 2001)
- Combination results obtained using multilayer perceptron with one hidden layer with 10 nodes.
- Training/test database: NIST SRE'01 (Switchboard 1)
- Used N-fold jack-knifing to train the classifiers.

# **Duration Modeling: Results**

	EER on true transc.	EER on rec. words
Baseline	0.90 %	
Word bigrams	8.65 %	9.30 %
State-in-phone durations	3.71 %	3.30 %
Phone durations	10.88 %	8.82 %
Phone-in-word durations	5.22 %	6.22 %

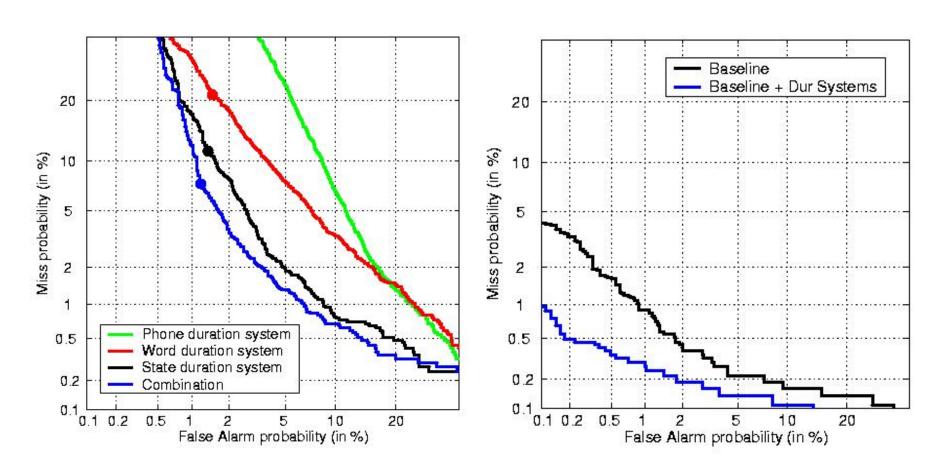
- Phone-in-word duration models and word N-gram models degrade when using recognized words, but state-in-phone and phone duration models improve
- Speaker-specific misrecognitions benefit these systems?
   WiSSAP'09 Higher-level features

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#### **Duration Modeling: DET Curves**

Duration systems and combination (for rec. words)

Combination of baseline and duration systems



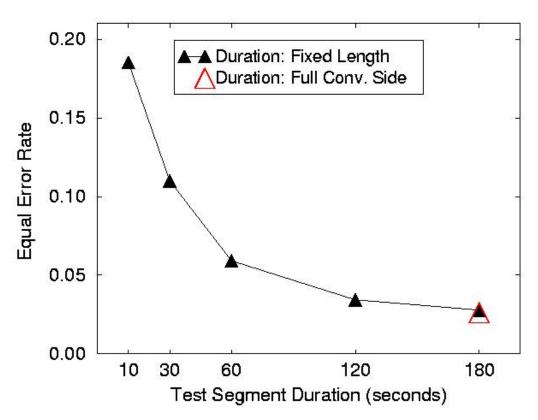
#### **Duration System Combined with Baseline**

 Adding the duration features both to the baseline alone and to the baseline with lexical features reduces the EER by 50%.

	EER, rec. words
Baseline	0.90 %
All duration systems	2.59 %
Baseline + all duration	0.40 %
Baseline + word ngram	0.57 %
Baseline + all duration + word ngram	0.29 %

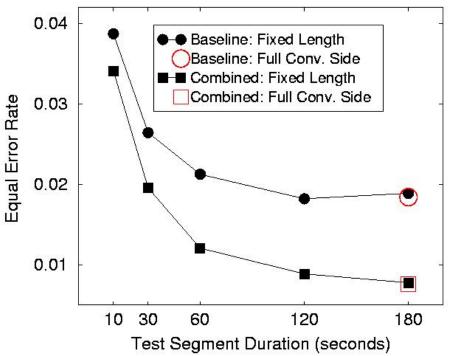
## Duration Performance with Varying Test Length

- Create shorter tests by concatenation of speech segments with only small pauses embedded.
- For each conversation side-length test we now have several shorter tests.



#### Baseline and Combination for Varying Test Length

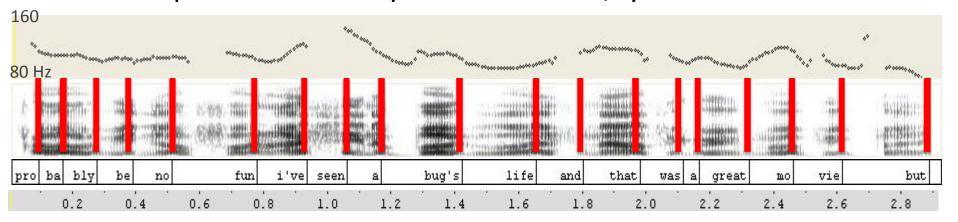
- Even at short test lengths, duration models give an improvement.
- Baseline seems to level off at 2 min of test data, while duration models do not.
- Contribution of duration increases with test length.

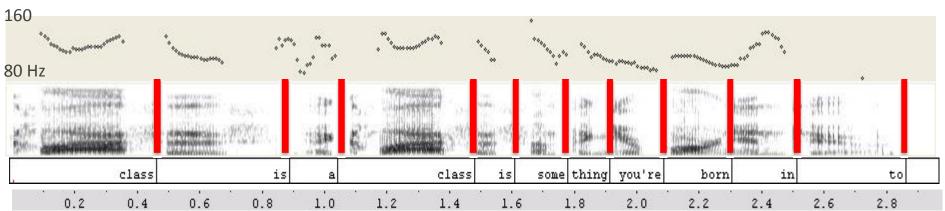


# Prosodic Modeling

#### Prosodic Modeling: Motivation

- Two male speakers confused by SRI SRE'08 cepstral system
- Very similar pitch range. Same elapsed time shown for each
- But: 1<sup>st</sup> speaker has nearly twice the word/syllable rate as 2<sup>nd</sup>





## Prosodic Modeling: History

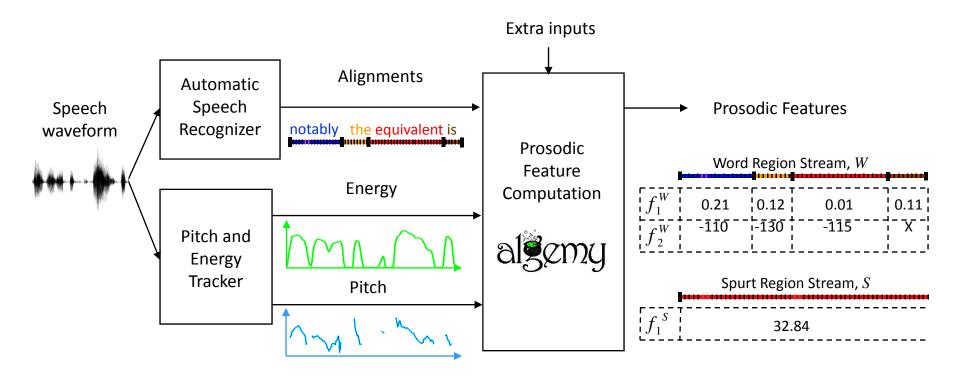
- Early work: frame-level model pitch distribution (Sonmez et al. '98), no sequence modeling
- Simple pitch and energy dynamics model based on discretized features and bigram modeling (Adami et al. '03)
- NERFs: Non-uniform extraction region features (Kajarekar et al. '04)
  - Exract prosodic features from longer regions, e.g., between pauses
- SNERFs: Syllable-based NERFs (Shriberg et al. '05)
  - Extract prosodic features for each syllable, model sequences
- GNERFs: Grammar-based SNERFs (Shriberg & Ferrer '07)
  - Condition syllable-based prosodic features on word identity and and grammatical word class

Next slides: explain the last three approaches, developed at SRI

# **Prosody Modeling at SRI**

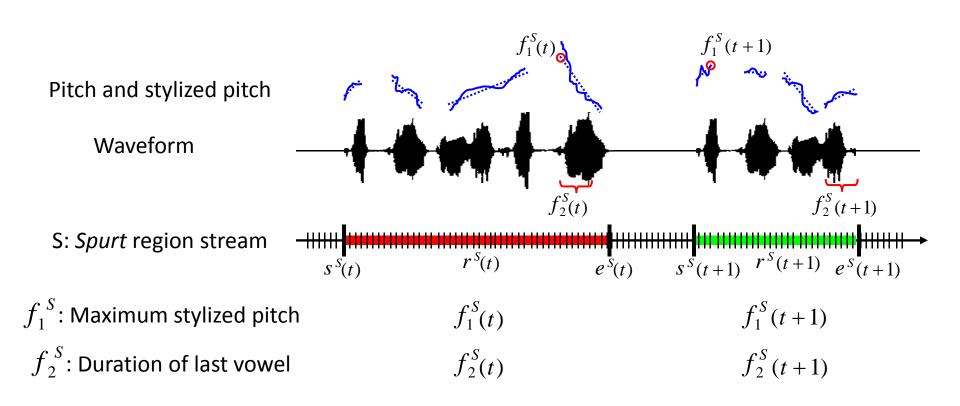
- NERF = Non-uniform extraction region features
- Goal: model the prosodic characteristics of the speaker's speech
- Yield best performance of all of SRI's "stylistic" (non-cepstral) systems
- Also, yields the most gains when combined with state-of-theart cepstral models

#### **Prosodic NERF Extraction**



• Spert = region of speech delimited by pauses > 0.5 seconds

## Prosodic Feature Example: Spurt NERFs



#### SNERFs: Syllable-based NERFs

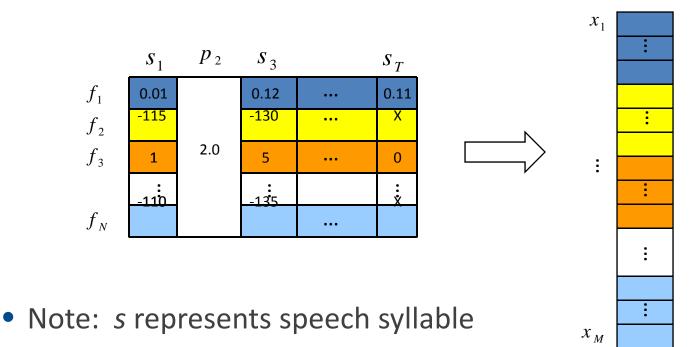
- Currently using syllables as regions
  - Syllables determined automatically from recognition output
  - ... and phonetic syllabification rules (NIST's tsylb2 software)
- Hundreds of pitch, energy and duration features
  - Features are frequently undefined, are highly correlated and have continuous, discrete, or mixed distributions
  - Currently computing 140 features

#### **GNERFs: Grammar NERFs**

- Basic regions are again the syllables
- Same features, but extracted only over specific "wordlists"
- Each wordlist contains a list of constrains
- Each constrain consists of
  - a specific word,
  - a specific part of speech (POS) tag,
  - a word+POS tag pair
- Example: backchannels
  - yeah, yes, ok, uhhuh, oh, ...

#### **SVM Feature Transformation**

 Need to transform messy variable-length SNERF stream into a single continuous-valued, fixed-length vector

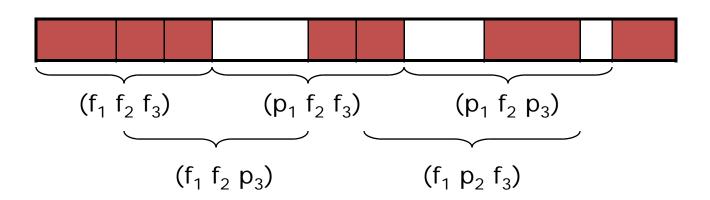


p represents non-speech pause

# SVM Feature Transformation (cont.)

Transform one feature at a time and concatenate the results For each feature:

- Create GMM models for each unigram, 2gram, 3gram, 4gram
- For each N-gram length include several models with pauses in different slots (tokens)
- Trigram example:



## SVM Feature Transformation (cont.)

- For each token
  - Train a GMM from held-out data
  - The transformed features consists of the posterior probability of each
     Gaussian given the data
- Finally,
  - Concatenate transformed features for all tokens
  - Rank-normalize each component
  - Take this vector as input to the SVM

# Syllable-based Prosodic Modeling: Results

- Results on SRE'06 database (EER)
- Cepstral baseline: MLLR-SVM system (see 3<sup>rd</sup> lecture)
- Best systems use intersession-session (intra-speaker) variability compensation (ISV, see 3<sup>rd</sup> lecture)

	1-side training	8-side training
SNERFs	12.08	5.42
SNERFs+GNERFs	11.54	5.37
SNERFs+GNERFs (ISV)	10.41	3.73
MLLR	3.99	2.14
MLLR + SNERFs+GNERFs	3.72	1.69

~ 21% improvement !!!

# Prosodic Modeling: Another Approach

- NERFs require speech recognition for pause detection, syllabification, and word conditioning
- Alternative approach that does not require ASR (Dehak et al. '07):
  - model raw energy and pitch tracks by fitting Legendre polynomials
  - Polynomial coefficients are features
- Two modeling approaches:
  - GMM supervector (with factor analysis for ISV compensation) (Dehak et al. '07)
  - GMM weight transforms (with nuisance attribute projection for ISV compensation) (Ferrer et al. '07)
- SRI's 2008 NIST SRE system incorporated both approaches, as well as ASR-dependent (SNERFs+GNERFs)

## **Results Comparison**

- Results (EER) on SRE'08 English dataset
- All systems use ISV compensation
- Phone duration system was dropped

Systems (gray = ASR-dependent)	1-side training	8-side training
Cepstral GMM	2.914	1.277
Prosodic w/ASR	10.016	3.502
State-in-phone Durations	14.820	9.208
Prosodic w/o ASR (poly)	17.180	10.253
Prosodic w/o ASR (supervector)	17.765	12.282
Phone-in-word durations	19.626	8.113
Word N-gram	20.685	7.714

No combination results for just these systems

#### Summary

- Higher-level feature capture aspects of speech complementary to cepstral features
  - Linguistic units
  - Longer-term patterns
  - Stylistic aspects, as opposed to vocal-tract shape
- Showed examples from three feature domains:
  - Word N-grams
  - Durations of sub-word units
  - Prosodic features (pitch, energy, durations)
- SVM modeling is a key tool, enabled by suitable feature transforms
- Found substantial gains in combination with cepstral baseline system in each case

# Thank you – Questions?

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